

রেজিস্টার্ড নং ডি এ-১

বাংলাদেশ



গেজেট

অতিরিক্ত সংখ্যা  
কর্তৃপক্ষ কর্তৃক প্রকাশিত

বৃহস্পতিবার, আগস্ট ১, ২০১৯

[ বেসরকারি ব্যক্তি এবং কর্পোরেশন কর্তৃক অর্থের বিনিময়ে জারীকৃত বিজ্ঞাপন ও নোটিশসমূহ ]

**Bangladesh Securities and Exchange Commission**

**DIRECTIVE**

Dated : 15 May 2019

No. **BSEC/CMRRCD/2009-193/216/Admin/93**—Whereas, the Bangladesh Securities and Exchange Commission is satisfied that in the interest of investors and securities market and for the development of securities market, certain direction shall be given to the listed companies and asset managers, whose shareholders and unit holders of mutual fund respectively are subject to lock-in and/or declaration prior to buy or sell;

Now, therefore, in exercise of the power conferred on it by section 20A of the Securities and Exchange Ordinance, 1969 (Ordinance No. XVII of 1969), the commission hereby directs all listed companies, asset managers and stock exchanges, namely :—

**1. For Listed Companies.—**

Block securities of the respective company held in the BO accounts of the sponsor shareholders, directors and placement holders through the Block Module of Central Depository System (CDS) of Central Depository Bangladesh Limited (CDBL).

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**2. For Asset Managers.—**

Block units of the respective listed mutual fund held in the BO accounts of the sponsor unit holders and placement holders through the Block Module of Central Depository System (CDS) of Central Depository Bangladesh Limited (CDBL).

**3. For Stock Exchanges.—**

(1) Upon receiving declaration and/or requisite Government tax, if any, from the sponsor shareholders or sponsor unit holders or directors or placement holders for buying or selling or otherwise disposing of their securities, the stock exchange shall allow crediting the declared quantity of buy, or debiting the declared quantity of sale, or otherwise disposing of the securities to/from the concerned BO account through the Block Module of Central Depository System (CDS) of Central Depository Bangladesh Limited (CDBL).

(2) Upon receiving official communication from the listed companies or asset managers to un-block a blocked BO account due to changes in directorship, or blocked inadvertently, or for any other valid reason, the stock exchange shall un-block the BO account through the Block Module of Central Depository System (CDS) of Central Depository Bangladesh Limited (CDBL) after examination.

This shall have immediate effect.

By order of the Bangladesh Securities and Exchange Commission

**Dr. M. Khairul Hossain**  
Chairman.