### রেজিস্টার্ড নং ডি এ-১ "জাতির পিতা বঙ্গবন্ধু শেখ মুজিবুর রহমানের জন্মশতবার্ষিকী উদ্যাপন সফল হোক"



## অতিরিক্ত সংখ্যা কৰ্তৃপক্ষ কৰ্তৃক প্ৰকাশিত

### মঙ্গলবার, আগস্ট ২৪, ২০২১

[ বেসরকারি ব্যক্তি এবং কর্পোরেশন কর্তৃক অর্থের বিনিময়ে জারীকৃত বিজ্ঞাপন ও নোটিশসমূহ ]

### BANGLADESH SECURITIES AND EXCHANGE COMMISSION

### **NOTIFICATION**

Dated, 30 June 2021

No. BSEC/CMRRCD/2019-392/25/Admin/125—In exercise of the powers conferred by sub-section (1) of Section 33 of the Securities and Exchange Ordinance, 1969 (Ordinance No. XVII of 1969), the Bangladesh Securities and Exchange Commission makes, after prior publication, the following amendments in the Credit Rating Companies Rules, 1996, Securities and Exchange Commission (Issue of Capital) Rules, 2001, Securities and Exchange Commission (Right Issue) Rules, 2006 and Bangladesh Securities and Exchange Commission (Alternative Investment) Rules, 2015, namely:—

#### 1. Amendments in the Credit Rating Companies Rules, 1996:

At sub-rule (2) of rule 5 the words "twenty-five thousand taka" (a) shall be replaced by the words "two lac taka".

মূল্য: টাকা ৮.০০

- (b) After sub-rule (3) of rule 5 new sub-rule (4) shall be inserted as follows, namely:—
  - "(4) If the application is considered for registration the company shall pay an amount of taka twenty five lac as registration fee in favor of Bangladesh Securities and Exchange Commission:

Provided that the company shall pay renewal fee of taka five lac in each financial year;

Provided further that in case of delay in payment of renewal fee the company shall also be liable to pay a penalty of taka twenty five thousand for each month of default or part thereof."

- (c) A new rule 17 shall be inserted after rule 16, namely:—
  - "17. Depositing of fees, etc. in favour of the Commission.— Fees, etc. shall be deposited to the Commission through payment order, bank draft or electronic fund transfer."
- 2. Amendments in the Securities and Exchange Commission (Issue of Capital) Rules, 2001:
  - (a) At sub-rule (1) of rule 6 the words "taka five thousand" shall be replaced by the words "taka fifty thousand".
  - (b) A new rule 8 shall be inserted after rule 7, namely:—
    - "8. <u>Depositing of fees, etc. in favour of the Commission</u>.— Fees, etc. shall be deposited to the Commission through payment order, bank draft or electronic fund transfer."

# 3. Amendments in the Securities and Exchange Commission (Right Issue) Rules, 2006:

- (a) At clause (e) of sub-rule (2) of rule 7 the words "taka ten thousand" shall be replaced by the words "taka fifty thousand".
- (c) A new rule 11A shall be inserted after rule 11, namely:—

# "11A. Depositing of fees, etc. in favour of the Commission.—

Fees, etc. shall be deposited to the Commission through payment order, bank draft or electronic fund transfer."

## 4. Amendments in the Bangladesh Securities and Exchange Commission (Alternative Investment) Rules, 2015:

- (a) At sub-rule (2) of rule 3 the words "Tk. 50,000.00 (taka fifty thousand)" shall be replaced by the words "Tk. 2,00,000.00 (taka two hundred thousand)".
- (b) At sub-rule (7) of rule 3 the words "Tk. 100,000.00 (taka one hundred thousand)" shall be replaced by the words "Tk. 10,00,000.00 (taka one million)".
- (c) At sub-rule (1) of rule 4 the words "Tk. 50,000.00 (taka fifty thousand)" shall be replaced by the words "Tk. 2,00,000.00 (taka two hundred thousand)".
- (d) At sub-rule (2) of rule 9 the words "Tk. 50,000.00 (taka fifty thousand)" shall be replaced by the words "Tk. 2,00,000.00 (taka two hundred thousand)".
- (e) At sub-rule (2) of rule 14 the words "Tk. 10,000.00 (taka ten thousand)" shall be replaced by the words "Tk. 50,000.00 (taka fifty thousand)".
- (f) At sub-rule (7) of rule 14 the words "Tk. 25,000.00 (taka twenty five thousand)" shall be replaced by the words "Tk. 2,00,000.00 (taka two hundred thousand)".

- (g) After sub-rule (7) of rule 14 a new sub-rule (8) shall be inserted as follows, namely:—
  - "(8) The company shall pay annual/renewal fee of Tk. 1,00,000.00 (taka one hundred thousand) in favor of Bangladesh Securities and Exchange Commission in each financial year:

Provided that in case of delay in payment of the annual/renewal fee the company shall be liable to pay a penalty of Tk. 25,000.00 (taka twenty five thousand) for each month of default or par thereof."

(h) A new rule 28 shall be inserted after rule 27, namely:—

# "28. Depositing of fees, etc. in favour of the Commission.—

Fees, etc. shall be deposited to the Commission through payment order, bank draft or electronic fund transfer."

By order of the Bangladesh Securities and Exchange Commission

Professor Shibli Rubayat-Ul-Islam Chairman.